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IMPROVED STOPPER CAPSULES AND METHODS FOR PRODUCING  
THEM

Domain of the invention

The invention relates to the domain of screw stopper capsules that typically comprise an internal threaded plastic insert and a metallic external shell.

These capsules are typically intended for closing  
5 bottles containing alcoholic drinks and particularly wine.

State of the art

Composite capsules comprising a threaded insert  
10 and a metallic shell are already known, particularly like those in the following patents issued in the name of the applicant.

Thus, French patent No. 2 763 046 describes a method of fastening an insert to a metallic shell.

15 Similarly, French patents No. 2 792 617 and No. 2 793 216 describe a composite stopper capsule in which the said insert performs the technical functions of the capsule.

French patent No. 2 802 181 describes a stopper  
20 capsule in which the said shell is crimped to the said insert, the capsule comprising a means of providing weight and / or volume to the said capsule above its sealed closing means.

French patent No. 2 803 827 describes a stopper  
25 capsule in which the said insert has a thin wall.

Screw stopper capsules are also known in which the thread is created by deformation of the metallic skirt

of the capsule, for example as described in French patent 2 387 165.

Problems that arise

5        Firstly, screw stopper capsules according to the state of the art do not always have sufficient manual grippability, to the extent that unscrewing of the capsule may require a torque, particularly for first opening, such that the fingers can tend to slide on the  
10 metallic shell, which is usually cylindrical.

One purpose of the invention is stopper capsules with a particular shape that is easier to handle and easier to screw / unscrew than stoppers according to the state of the art.

15        Secondly, there is also a continuously increasing demand for diversification of the shapes of the capsules, such that there is a need for non-cylindrical capsules in the strict sense of the term.

Satisfying this need is another important purpose  
20 of the invention.

Another purpose of the invention consists of capsules in which the shape of the shell enables immediate differentiation from marketed capsules that are typically cylindrical.

25        Furthermore, these capsules must satisfy mechanical strength requirements, particularly in terms of shock resistance.

Another purpose of the invention consists of a method for making these capsules according to the first  
30 purpose of the invention, industrially and at high speed.

Composite capsules are manufactured by procuring plastic inserts, metallic shells and assembling them together.

5 The plastic insert is formed by moulding of the thermoplastic material, typically by injection.

The metallic shells are manufactured typically by drawing a metal strip or sheet, usually aluminium or tin. Thus, in practice, it is known only how to produce cylindrically shaped metallic shells  
10 industrially at high speed.

#### Description of the invention

According to the invention, the stopper capsule designed as a screw stopper for a container typically  
15 designed to contain alcoholic drinks, and typically a bottle in which the neck is provided with an outer thread and a tamper-evident ring, comprises two parts fixed together in rotation and axially by an assembly means, a) an inner part or insert with height  $h$ , made  
20 of plastic material, comprising a so-called inner head and a so-called inner skirt, the said inner skirt comprising an inner thread on its inside surface designed to cooperate with the thread of the said neck so as to be able to screw the said capsule to the said  
25 neck along a rotation axis or an axial direction, and b) an outer part or a shell with height  $H$ , typically metallic or metal based, comprising an outer head and an outer skirt masking all or part of the said inner skirt facing it, the said capsule typically being  
30 provided with a sealing means, a tamper-evident means and a first opening means, and characterised in that:

1) the said outer skirt of the said shell comprises at least a typically cylindrical part with height H1, diameter D1 adapted to the said neck, and at least a radially expanded part with height H2, inscribed in a circle with diameter  $D2 > D1$  and forming an annular radial cavity, the said typically cylindrical part of the said shell radially clamping the said inner skirt of the said insert like a hoop at least facing the said inner thread, the said expanded part being designed particularly to facilitate manual gripping of the said capsule and rotation of the capsule with respect to the said neck to open / close the said container by unscrewing / screwing the said capsule on the said neck,

2) the said radially expanded part (43) and the said typically cylindrical part (42) of the said outer skirt (41) typically having the same thickness  $E_p$ .

Following his work, the applicant observed that the means according to the invention could effectively solve the problems that arise concerning the capsules themselves.

The presence of a radially expanded part on the skirt of the said shell makes it possible to get a better manual grip of the capsule particularly in order to unscrew it, and to differentiate it from capsules already on the market.

Furthermore, the invention can be differentiated without increasing the height of containers closed with such capsules. The total height of a bottle with its capsule may in many cases be controlled by a standard or a requirement imposed by one of the many players

involved in the line between the producer or the packager and the consumer. Thus, for example, it could not be envisaged that a drink distributor would agree to an increase in the space between bottle storage shelves because bottles are too tall.

Furthermore, these capsules have a high shock resistance, particularly because the radially expanded part of the outer skirt has a thickness  $E_p$  approximately the same as the thickness of the remainder of the outer skirt that is not expanded radially, while the expanded areas according to the state of the art have a thinner wall.

It is advantageous that this is the case since this expanded part (43) is exposed to shocks and therefore it should not be mechanically weaker than the remaining part of the metallic shell (4). This is achieved particularly through use of the method according to the invention described in the following.

#### 20 Description of the Figures

Figures 1a, 2a, 3a, 4a, 5a, 7a, 8a, 9a, 10a, 13a, 13b and 14a are axial sections through capsules (1) comprising a shell (4) according to the invention, the said capsules being crimped onto a neck (2), while Figures 1b, 2b, 3b, 4b, 6b, 7b, 8b, 9b, 10b and 14b are side views of the corresponding capsules (1).

The left part of Figures 1a, 3a, 4a, 5, 9a, 10a, 13a and 13b shows the capsule (1) with an add-on seal (50) while the right part shows the add-on seal (50) of the left part being replaced by a sealing insert (51) that temporarily fixes an anti-fill device (8) in

Figures 1a, 4a, 10a, 13a and 13b, and a spout (7) in Figures 3a, 5, 9a.

The capsules (1) in Figures 2a, 7a, 8a and 14a comprise an add-on seal (50).

5        Figures 1a to 5, 13a and 13b relate to methods of making a capsule (1) in which the insert (3) is an insert (3') with an inner skirt (31) said to be "short" with height  $h_1 < 20$  mm, so as to face the thread (20) of the neck (2).

10        Figures 7a to 10b relate to capsules (1) in which the insert (3) typically shown in Figure 6a, is an insert (3'') with an inner skirt (31) called a "long" skirt with height  $h_1 > 20$  mm.

15        Figures 14a and 14b relate to capsules (1) in which the insert (3) is an insert (3''') with a so-called "very long" skirt with height  $h_1 > 50$  mm.

Other figures

Figure 6c shows an enlarged partial view of the top right corner in Figure 6a.

20        Figures 11a to 12d show axial sections through the device (9) or portion of device (9) for manufacturing metallic shells (4) from cylindrical blanks (4').

Figures 11a and 11b correspond to two variants of the device (9).

25        Figure 11c shows the initial state (before radial expansion) and Figure 11d shows the final state (after radial expansion).

30        Figures 12a to 12b correspond to Figures 11c and 11d, but the elastomer punch (95) has a profile with a sloping wall (950).

Figures 12c and 12d diagrammatically show a radial expansion according to the state of prior art, Figure 12c corresponding to Figure 11c and Figure 12d illustrating breakage of the shell when radial expansion does not enable progressive deformation of the shell (4') from bottom to top as occurs with the invention, and as shown in Figures 11c to 12b.

Figure 13b shows a partial view of the capsule according to Figure 13a.

Figures 14c and 14d are enlarged views of the said expanded part (43) in the case in which the insert (3) comprises a flexible annular tab (302).

Figures 15a to 15f and 17a to 17b are partial side views of the said shell (4) and ornamental or manual gripping means (430) of the said expanded part (43).

Figures 16a to 16f and 18d are top views of the said shell (4) or capsule (1, 1').

Figures 17c and 17d are axial half-sections (left side) of a capsule (1') placed on a neck before crimping in Figure 17c and after crimping in Figure 17d.

Figures 18a to 18c are views of a shell (4) in which the said cylindrical part (42) comprises a deformation with amplitude  $a'/b'$  very much less than the amplitude  $a/b$  of the deformation of the said expanded part (43).

Figure 18a is a view of the shell (4), while Figure 18b is an enlarged view of the portion surrounded by a circle shown in dashed lines, at the top left in Figure 18a.

Figure 18c is a perspective partial side view of a portion of the cylindrical part (42') of the outer skirt (41).

5     Detailed description of the invention

According to the invention, the said expanded part (43) may typically form an annular, continuous or discontinuous ring, its upper part being connected typically to the said outer head (40) or possibly to the said cylindrical part (42), and its lower part being connected to the said cylindrical part (42).

In many Figures related to capsules, for example Figures 1a, 2a, the said expanded part (43) forms a continuous annular ring with cylindrical symmetry.

15     In this case, this radial overthickness firstly enables firmer manual gripping of the capsule, and secondly forms a very distinctive outer symbol.

The said expanded part (43) delimits an annular radial cavity (48) between cylinders with diameter D1 and diameter D2.

Typically, all or part of the said inner skirt (31) of the said insert (3) may cooperate with all or part of the said typically cylindrical part (42) of the said outer skirt (41), particularly so as to form the said assembly means.

As is clear in the Figures related to the capsules (1), the insert (3) and the said typically cylindrical part (42) of the shell are approximately the same diameter D1, such that the said insert (3) may be inserted into the said shell (4) without excessive play.

As shown in the Figures related to capsules (1), all or part of the said inner head (30) of the said insert (3) may be facing the said expanded part (43) of the said shell (4). However, as shown in Figure 8a, 5 the invention also comprises capsules (1) in which the said expanded part (43) may be remote from the outer head (40) by 5 to 15 mm. For example, the said expanded part (43) may form a semi-circular retaining ring that may be facing a part of the thread (32) of 10 the insert (3) without making it inconvenient for the screwing action itself - case that is not shown in a Figure.

According to the invention, the said height H2 of the said radially expanded part (43) may be at least 15 2 mm and may typically vary from 3 mm to 15 mm. In many examples illustrated by the Figures, this height is 4 mm, 4.5 mm and 6 mm.

The said diameter D1 of the cylindrical part (42) may typically vary from 15 mm to 60 mm.

20 According to the invention, the ratio  $D2/D1$  may vary from 1.02 to 1.15 and may typically vary from 1.05 to 1.10. This ratio is approximately 1.085 for the shells (4) in the examples and the Figures.

As shown particularly in Figure 7a, the said 25 typically cylindrical part (42) and the said expanded part (43) may be connected by at least one intermediate part with an average slope equal to  $\Delta D/\Delta H$ , where  $\Delta D$  is equal to  $D2 - D1$  and  $\Delta H$  is equal to the height of the said shell (4) on which the said diameter varies from 30  $D1$  to  $D2$ , the said slope typically varying from 0.5 to 2 and preferably from 0.8 to 1.5.

As also shown in Figure 7a, the said radially expanded part (43) and the said typically cylindrical part (42) and the said expanded part (43) are connected together by a radius of curvature R2 varying from  
5 1.5 mm to  $\Delta D/2$ .

According to one embodiment of the invention, the said expanded part (43) may be adjacent to the said outer head (40) in its upper part, and to the said cylindrical part (42) of the said outer skirt (41) in  
10 its lower part, the said outer head (40) and the said expanded part (43) being connected by a radius of curvature R1 varying from 1.5 mm to 5 mm as shown in Figure 7a.

According to another embodiment of the invention  
15 shown in Figure 8a, the said expanded part (43) may be adjacent to the said cylindrical part (42) of the said outer skirt (41) in its upper part and in its lower part, the said expanded skirt (43) being an expanded skirt (43') at a spacing from or offset from the said  
20 outer head (40). As mentioned above, the said expanded part may be at a variable distance from the said outer head (40) and therefore the top of the capsule (1).

The said outer skirt (41) may comprise several expanded parts (43, 43', 43'') as shown for example in  
25 Figure 8a (right part of Figure 8a).

It may be advantageous for the said inner head (30) of the said insert (3) to partly or completely face the said expanded part (43, 43') so that the inner thread (32) of the said threaded inner skirt (31) of  
30 the said insert (3) is facing the said cylindrical part (42) of the said outer skirt (41).

This may be advantageous in the case in which the expanded part (43) is very tall, with a height  $H_2$ . Since the shell (4) acts as a hoop around the insert, it is preferable that the part of the insert (3) carrying the said thread (32) is in direct contact with the said cylindrical part (42) with approximately the same diameter and therefore acting as a hoop, particularly to avoid any radial deformation of the said thread (32) when screwing or unscrewing under stress, particularly in the case in which a thin walled insert is used.

As shown in Figures 2a, 3a and 4a, the said inner head (30) of the said insert (3) may comprise an arch (33) in contact with the said sealing means (5) and a recessed spacing means (34) above the said arch, typically formed of spaced concentric rings (340) in contact with the said outer head (40). Thus, if necessary, the height of the said capsule (1) can be modified and the height  $H_2$  of the said expanded part (43) may also be increased.

Typically, when the said capsule (1) seals the said neck (2) by screwing, the axial height of the said expanded part (43) may be such that it is above the said outer thread (20) of the said neck (2) and possibly above the said locking ring (22) of the said neck (2).

According to the invention, the thickness of the said inner skirt (31) of the said insert (3) at the bottom of the groove may vary between 0.1 mm and 0.5 mm.

In the case of an insert with a "short" skirt (3'), this thickness can vary between 0.1 and 0.3 mm.

The thickness of inserts with longer skirts (3") and (3'') may vary from 0.25 to 3 mm.

5       The said insert (3) may be an insert (3') for which the inner skirt (31) is said to be "short", the said insert having a height  $h_1$  typically varying from 6 mm to 20 mm, the said height  $h_1$  typically corresponding to the height of the said neck from the  
10       said locking ring (22) as far as the bottom of the said outer thread (21). In this case, the ratio  $H/h_1$  may vary from 1.1 to 4 and preferably from 2 to 3.

This type of insert is shown in Figures 1a, 2a, 3a, 4a, 5, 13a and 13b.

15       In this case, the said outer skirt (41) may include the said tamper-evident means (6), the said outer skirt (41) being capable of forming a crimped zone (60) under the said tamper-evident ring (21), and the said first opening means (7), the said outer skirt  
20       (41) comprising a line of weakness (70) fixing a guarantee strip (71) above the said line of weakness by narrow connecting strips, and capable of forming the said crimped zone (60).

25       The said insert (3) may also be an insert (3") for which the inner skirt (31) is said to be "long", the said insert having a height  $h_2$  typically varying from 20 mm to 50 mm, the said height  $h_2$  typically corresponding to the height of the said neck from the said locking ring (22) as far as the bottom of the said  
30       tamper-evident ring (21) of the said neck (2), the ratio  $H/h_2$  typically varying from 0.8 to 1.1.

This case was shown in Figures 6a, 7a, 8a, 9a and 10a.

As shown in Figure 14a, the said insert (3) may also be an insert (3'") in which the inner skirt (31) is said to be "very long", the said neck comprising a lower tamper-evident ring (21'), the said insert having a height  $h_3$  more than 50 mm, the said height  $h_3$  typically corresponding to the height of the said neck from the said locking ring (22) as far as the bottom of the said lower tamper-evident ring (21'), the ratio  $H/h_2$  typically varying from 0.8 to 1.1.

Regardless of whether the said insert (3) is an insert (3") with a "long" skirt or an insert (3'") with a "very long" skirt, the said inner skirt (31) may include the said tamper-evident means (6) and the said first opening means (7), the said inner skirt (31) comprising a guarantee strip (71) in its lower part connected by a line of weakness (70) provided with several narrow connecting strips, the said guarantee strip (71) cooperating with the said tamper-evident ring (21) by means of attachment tabs (61), so that the said tamper-evident ring (21) blocks the said tabs (61) and the said guarantee strip (71) in the axial direction, and thus first opening of the said capsule causes a visible rupture of the said narrow connecting strips along the said line of weakness (70).

The said guarantee strip (71) may comprise an outer projection (62) forming a rim for the said outer skirt, typically a stop rim with a width varying from 0.5 to 5 times the thickness  $E_p$  of the said outer skirt (41).

The said attachment tabs (61) may be connected to the said guarantee strip (71) or possibly to the said outer projection (62).

Each of the said attachment tabs (61) may be fixed  
5 to the said guarantee strip (71) or to the said projection (62) by a thinned part (610) of the said tab (61) making it flexible.

Thus, all that is necessary during capping of the said neck is to screw the said capsule (1) to the said  
10 neck so that the plurality of flexible tabs (61) are automatically blocked under the tamper-evident ring (21), these tabs being oriented so as to block any axial displacement.

According to one insert embodiment (3) shown in  
15 Figure 6a, the said line of weakness (70) may be a notched line (70') so as to avoid any unwanted breakage of the narrow connecting strips, particularly during the said sealing or capping of the said container.

According to the invention and as shown in Figures  
20 16a to 16c, the said expanded part (43) may have a profile typically forming a circle or a regular polygon typically with N sides where N varies from 5 to 18 and preferably from 6 to 12 sides, over all or part of its height H2.

25 However, the said outer skirt (41) may form a surface of revolution over all or part of its height H, with a constant or variable radius depending on the height considered, or it may have a symmetry of rotation with angle  $360^\circ/N$  where H varies from 4 to 80,  
30 the said outer skirt (41) typically forming a plurality

of N notches so as to facilitate manual gripping and rotation of the said capsule.

The non-expanded part (42) of the said outer skirt (41) may be non-cylindrical. In this case, the  
5 corresponding insert (3) must have the same profile.

It is thus possible to further accentuate the distinctive nature of the capsule (1) and facilitate manual gripping of it.

According to the invention, the said assembly  
10 means fixing the said inner part (3) and outer part (4) in rotation and axially may comprise any known type of means and particularly a mechanical or chemical anchor means, typically by gluing the said inner part (3) and outer part (4).

15 Thus, the said inner skirt (31) of the insert (3) may cooperate with the said cylindrical part (42) facing the shell (4), over all or part of the said height h, due to an adhesive layer fixing the said inner skirt (31) and the said cylindrical part (42).

20 Typically, the said outer part or shell (4) may be made of aluminium, tin or a metalloplastic multi-layer material with a deformation under stress similar to the deformation of aluminium or tin.

The said outer part (4) may be made of aluminium  
25 treated on the surface, typically brushed or anodised, to create a "metallic" appearance or colour.

Similarly, the said inner part (3) may be an insert moulded from a thermoplastic material, typically  
30 PE, PP, PET, SEBS or PS, possibly comprising one or several mineral fillers and typically talc.

In general, the said sealing means (5) of the said capsule (1) may typically comprise an add-on seal (50) or a sealing insert (51), or possibly a circular sealing lip.

5       The said sealing means (5) may comprise the said add-on seal (50) with a sufficiently large diameter to at least cover the locking ring (22) of the neck (2) and a compression means, carried by the inner surface of the said insert, to apply the said seal (50) to seal  
10       the said neck (2) during the said capping and typically on the locking ring (22) of the said neck (2).

      According to the invention, the said compression means may be composed of or may comprise an axial compression means, the said axial compression means  
15       typically comprising a rib or an annular overthickness (300) formed on the inner wall of the said inner head (30) or the said inner skirt (31), and designed to compress the said add-on seal (50) along the said axial  
20       direction (10) on the upper part (220) of the said locking ring (22), part typically plane or inclined by up to 45°.

      According to the invention and as shown in Figure 7a, the said compression means may comprise a radial compression means, the add-on seal (50) being  
25       compressed on the said neck along a radial direction (11) due to the said annular tab (311), the said radial direction (11) forming an angle of at least 45° with the said axial direction (10).

      As shown in the left part of the Figure 1a, the  
30       said radial compression means may comprise an annular overthickness (300) typically formed at the junction

between the said inner head (30) and the said inner skirt (31), and designed to compress the said seal (50) over all or part of the striated part (220) and / or on the typically vertical part of the locking ring (22).

5       The said annular overthickness (300) may be in the form of a step formed at the inner junction of the inner head (30) and the inner skirt (31) so as to compress the said seal (50) in the radial direction.

10       As shown in Figure 3a, the said radial compression means may comprise a chamfer (301) of the said insert (3) at the inner junction of the inner head (30) and the inner skirt (31), the said chamfer having an inclination or curvature typically similar to that of the striated part (220) of the said locking ring (22)  
15       facing it.

20       As shown in Figure 7a, the thickness  $E_j$  of the seal, typically between 0.5 and 2.5 mm, may be chosen particularly as a function of the radial space  $E_o$  between the said neck and the said capsule, such that the said container is capped and sealed by the said capsule, the thickness of the locally compressed seal or the distance  $E$  between the end of the said compression means and the said locking ring then typically being between  $0.3 \times E_j$  and  $0.7 \times E_j$ , where  
25        $E_j$ .

As shown also in Figure 7a and in Figure 6c, the said radial compression means may comprise an annular tab (311) formed on the inner wall of the said inner skirt (31) of the insert (3).

30       According to the invention, the said compression means may comprise an axial compression means and a

radial compression means, the said axial and / or radial compression means forming an integral part of the said insert (3) or forming an add-on part.

As shown in Figure 6a, the said inner skirt (31) of the insert (3) may comprise a rib or a plurality of holding pins (310) capable of fixing the said add-on seal (50) to the said insert (3).

In the capsule (1) according to the invention, a spout (8) and / or a so-called "anti-fill" device (8') may be fixed reversibly to the said insert (3) or possibly to the said sealing means (5, 50, 51), typically due to an inner ring (35) of the said insert (3) temporarily cooperating with a peripheral skirt of the said spout (8) and / or the said anti-fill device (8').

Figures 3a, 5 and 9a show the case in which a spout (8) is fixed to the capsule (1) through the said sealing means (51), and particularly through a connecting ring (510).

Figures 1a, 4a, 10, 13a and 13b show the case in which an "anti-fill" device (8') is fixed to the capsule (1) through the said sealing means (51).

The connecting ring (510) fixes the devices (8) and (8') to the capsule (1) such that when the capsule (1) is screwed to the neck, the devices (8) and (8') are forced fitted into the neck and remain fixed to the neck due to the ribs (81) - these ribs (81) are shown in their original position in the figures, before insertion into the neck, these ribs being curved upwards in contact with the inner wall of the neck when

these devices (8) and (8') are inserted into the neck (2).

As shown in Figures 14c and 14d, the said insert (3) may comprise an axial snap-on means, typically in the form of a plurality of flexible annular tabs (302) cooperating with the said radially expanded part (43) so as to fix the said insert (3) into the said shell (4) along the axial direction and so as to further increase the shock resistance of the said expanded part (43) of the said shell (4).

Shock resistance tests called the "Charpy impact test" carried out with different aluminium alloys and thicknesses, with and without tabs (302), gave the following results on an arbitrary scale varying from 1 (poor resistance) to 5 (excellent resistance):

- Alloy 8011 - 0.23 mm - without tab (302): 1
- Alloy 3105 - 0.21 mm - without tab (302): 2
- Alloy 3105 - 0.23 mm - without tab (302): 2.5
- Alloy 8011 - 0.23 - with tab (302): 5 - no trace of shock.

Note that the only absolutely unacceptable mark is 1, level 5 corresponding to excellent resistance, and products with mark 2 or more could be marketed because they have satisfactory shock resistance.

However, as shown in Figure 13b, the said annular radial cavity (48) may also be filled with a material (49), typically an adhesive material, so as to simultaneously fix the insert to the said shell and to obtain a very high shock resistance. This adhesive may be composed of or may contain a homogeneous glue or a two-component glue (polyurethane glue).

In all cases, regardless of whether a tab (302) is used, regardless of whether an adhesive material is inserted and regardless of whether an insert with an outer rim is used as shown in Figure 13a, an excellent shock resistance can be obtained with a mark of 5 on the "Charpy impact test" scale using an alloy in the 8000 series that is less expensive than an alloy in the 3000 series.

According to the invention and as shown in Figures 15b to 16f, 17a, 17b and 18d, the said radially expanded part (43) may have a non-circular section in a plane perpendicular to the said axial direction (10) so as to facilitate gripping and manual rotation of the said capsule (1).

A circular section is shown in Figures 15a and 16a. The said non-circular section may have several forms.

Firstly, it is possible to have a plurality of relief or indentations formed on a circular section, as shown for example in Figures 15b to 15f.

Secondly, other possibilities of non-circular sections are shown in Figures 16b to 16f; for example with polygonal sections (Figures 16b and 16c) and with an oval section (Figure 18d).

As shown in Figures 18a to 18c, the shell (4) may include a cylindrical part (42') of the outer skirt (41) comprising a plurality of low amplitude deformations (420) that can form patterns that can also contribute to manual gripping of the capsule.

The deformations (420) are said to be low amplitude ( $a'/b'$ ) in opposition to a high amplitude

deformation (a/b) related to the said expanded part (43), where "a" and "b" correspond to  $\Delta D$  ( $D2-D1$ ) and  $H2$  described above.

Low amplitude deformations (420) are typically and  
5 traditionally formed by an elastomer punch, the  
amplitude ( $a'/b'$ ) being low enough so that there is  
slight local expansion of the metal without a  
significant risk of thinning of the wall and the  
initiation of cracks (44). Typically  $a'/b' <$   
10  $0.2 \times a/b$ .

Another purpose of the invention shown in Figures  
17c and 17d is composed of a stopper capsule (1')  
designed for screw capping of a container typically  
designed to contain alcoholic drinks, typically a  
15 bottle with a neck (2) provided with an outer screwing  
thread (20) and a tamper-evident ring (21), comprising  
an outer part or shell (4) with height  $H$ , typically  
metallic or metal based, comprising an outer head (40)  
and an outer skirt (41) concealing all or part of the  
20 said inner skirt (31) facing it, the said capsule being  
provided with a sealing means (5), a tamper-evident  
means (6) and a first opening means (7), and  
characterised in that the said outer skirt (41) of the  
said shell (4) comprises at least a typically  
25 cylindrical part (42) with height  $H1$ , diameter  $D1$   
adapted to the said neck (2), and at least one radially  
expanded part (43) with height  $H2$ , inscribed in a  
circle with diameter  $D2 > D1$  and forming an annular  
radial cavity (48), the said expanded part (43) being  
30 designed particularly to facilitate manual gripping of  
the said capsule (1) and rotation of the capsule with

respect to the said neck (1) to open / close the said container by unscrewing / screwing the said capsule (1) on the said neck (2).

Another purpose of the invention consists of a  
5 method for manufacturing capsules (1). In this method:

a) the said inner part or insert (3) may be procured, possibly including the said add-on seal, and possibly the said spout or "anti-fill" device (8, 8'),

b) a blank (4') of the said outer part (4) can be  
10 formed, the said blank (4') comprising a skirt (41') with diameter D1 and height  $H' > H$ , typically by drawing, extrusion or spinning, from a typically metallic strip material,

c) the said blank (4') can be transformed into the  
15 said outer part (4) by making a local radial expansion of the said outer skirt (41') over the said height H2,

d) the said sealing means (50, 51) and / or the said insert (3) may possibly be assembled to the said outer part (4), typically by deposition of an adhesive  
20 between the said outer skirt (41) or onto the said cylindrical part (42), and then force fitting the said inner part (31) into the said outer part (41).

To manufacture the capsules (1) according to Figures 1a, 2a, 3a, 4a, 5, 7a, 8a, 9a, 10a, 13a, 13b  
25 and 14a, the said insert (3) is procured fitted with either its seal (50) or the sealing insert (51) that may be fixed and carrying a spout (8) or an "anti-fill" device (8'), the said insert typically being obtained by injection moulding of thermoplastic material.

30 The said blank (4') is formed or procured and then the said outer part or shell (4) comprising the said

expanded part (43) is formed by local deformation according to the invention.

The insert (3) is then assembled to the shell (4), typically by gluing.

5        However, capsules (1') according to Figures 17c and 17d are manufactured by procuring the seal (50) or the sealing insert (51), possibly fixed and carrying a spout (8) or an "anti-fill" device (8').

10        As in the previous case, the said blank (4') is formed or procured and then the said outer part or shell (4) comprising the said expanded part (43) is formed by local deformation according to the invention.

The seal (50) or the sealing insert (51) is then assembled to the shell (4).

15        In step c) of this method, the said local radial expansion may be obtained by axial compression of an expandable punch (95) in the said blank (4') placed in a shaping die (91, 91') forming a radial cavity (92) with a profile similar to the profile of the said  
20        expanded part (43), the said expandable punch (95) forcing a part of the said outer skirt (41') into contact with the said inner wall of the said radial cavity (92), due to the said axial compression, typically obtained by axial displacement of a slide  
25        (96).

Advantageously, and as shown in Figures 11a to 12b, the said local radial expansion may be an expansion progressively extending in the axial direction, the said expandable punch starting to apply  
30        its action at the bottom part (45) of the said blank (4') closest to the said outer head (40), then

progressively continuing to exert its action by moving away from the said outer head (40), so as to enable free creep of the said skirt (41') in the said cavity (92), the said free creep being made possible by progressive blocking of the said skirt (41') from the said outer head (40), the remainder of the said skirt (41') not being blocked in contact with the said die by the said expandable punch (95), so as to progressively form the said expanded part (43) in the axial direction without any risk of metal breakage. This is why this method transforms a blank (4') with height  $H'$  into a shell (4) with height  $H$ , where  $H' > H$ , as can be seen in Figures 11a and 11b.

Otherwise, in other words when the metallic skirt does not creep freely on the side opposite the head as shown in Figures 12c and 12d, the elastomer punch creates an isotropic pressure (97) that blocks the skirt (41) in contact with the wall of the die (91') such that the portion of skirt facing the said cavity (92) is affected by a hydraulic expansion which creates a deformation with the necessary thinning of the wall and finally rupture of the said wall as soon as the deformation is significant.

It is important to note that the said expanded part (43) has approximately the same thickness as the said cylindrical part (42) of the outer skirt (41) such that this expanded part, relatively exposed to shocks, does not have any mechanical weakness.

As shown in Figures 12a and 12b, the said expandable punch (95) may have an axial profile (950)

adapted to obtaining the said progressive expansion by radial compression.

According to the invention, the said expandable punch may be formed from an elastomer material capable of deforming under the said radial compression, the said elastomer material having a Shore hardness chosen as a function of the mechanical characteristics of the said material from which the said blank (4') is made, typically metallic, the said hardness being greater than a given value depending on the mechanical characteristics and the thickness of the said material forming the said skirt (41'), such that the said axial compression develops a radial force of the said elastomer material greater than the local resistance of the said skirt (41') to deformation by radial expansion.

Thus for example, an elastomer with a Shore A hardness of 80 to 85 will be sufficient if the said blank (4') is made from a 0.23 mm thick 8011 aluminium alloy according to the Aluminum Association nomenclature, , while an elastomer with a Shore A hardness of 85 to 90 is necessary when the aluminium alloy is a 0.23 mm thick 3105 alloy according to the same nomenclature, since a 3105 alloy has higher mechanical characteristics than an 8011 alloy.

As shown in Figures 11a to 12b, the expandable punch (95) may be compressed in the axial direction by a slide (96). This slide may be metallic or made from an elastomer with hardness greater than the hardness of the expandable punch (95), or it may comprise an elastomer or rubber lower part (96') with a Shore A

hardness greater than the hardness of the said expandable punch (95), as shown in Figure 11d, which in particular provides a lower precision axial travel distance of the slide.

5       As shown in Figure 11b, the said slide (96) may have a shoulder (960) with a width equal to at least the said thickness  $E_p$ , so that the said shoulder can apply an axial compression on the end of the said outer skirt (41) when the said slide (96) is at its bottom  
10   dead centre, and thus facilitate the said expanded part (43) being forced into contact with the wall of the said cavity (92) and thus obtain low radii of curvature  $R_1$  and  $R_2$ .

      The method according to the invention is not  
15   limited to capsules alone, it may be applied to the transformation of any hollow cylindrical body with a metallic skirt, particularly in the packaging sector.

#### Example embodiments

20       The method according to the invention has been set up on an industrial production line and used at normal production rates.

      The shells (4) were made from aluminium alloy blanks (4') in the 8000 and 3000 series:

25       - a 0.23 mm thick 8011 alloy strip which, for the blank (4') and shell (4), produces a thickness  $E_p$  of the skirt (41) varying from 0.23 mm at its upper part adjacent to the said head (40) to 0.245 mm at its lower part opposite the said head (40) and corresponding to  
30   opening of the shell (4) or the blank (4').

The thickness  $E_p$  of the expanded part (43) was found to be equal to 0.23 mm, so that no thinning was observed.

- a 0.21 mm thick 3105 alloy strip which, for the  
5 blank (4') and shell (4), produces a thickness  $E_p$  of the skirt (41) varying from 0.21 mm at its upper part adjacent to the said head (40) to 0.220 mm at its lower part opposite the said head (40) and corresponding to opening of the shell (4) or the blank (4').

10 The thickness  $E_p$  of the expanded part (43) was found to be equal to 0.21 mm, so that no thinning was observed.

- a 0.23 mm thick 3105 alloy strip which, for the  
blank (4') and shell (4), produces a thickness  $E_p$  of  
15 the skirt (41) varying from 0.23 mm at its upper part adjacent to the said head (40) to 0.240 mm at its lower part opposite the said head (40) and corresponding to opening of the shell (4) or the blank (4').

The thickness  $E_p$  of the expanded part (43) was  
20 found to be equal to 0.23 mm, so that no thinning was observed.

The inserts (3) were made by injection moulding of PE or PP and the inserts (3) were assembled in the shells (4) usually using an adhesive available in the  
25 shops.

All Figures - except for Figures 12c and 12d - are illustrations or examples of embodiments of the invention.

In the example in Figure 1a, the threaded insert  
30 (3) has a thin inner head (30) directly in contact with the said outer head (40) of the metallic shell (4), the

insert (3) compressing the said sealing means (5, 50, 51) in contact with the neck (2) and its locking ring (22), the said sealing means (5) being an add-on seal (50) on the left part of the Figure, and a sealing insert (51) on the right part of the Figure provided with a ring (510) capable of temporarily fixing an anti-fill device (8').

Figure 1b is a side view of the crimped capsule (1) in Figure 1a - the neck (2) not being shown.

10 In the example in Figure 2a, the inner head (30) comprises an arch (33) in which the lower face is in contact with the said sealing means (5), namely an add-on seal (50), the upper face of the said inner head (30) carrying a spacing means (34) forming a plurality of spaced concentric rings (340) forming a recess, the rings (340) acting as a support for the outer head (40) of the metallic shell (4). Figure 2b shows a side view of the capsule (1) in Figure 2a similar to Figure 1a.

15 In the example in Figure 3a, the height of the rings (340) is greater than the height in Figure 2a. Note in this Figure that the entire expanded part (43) is above the locking ring (22). The said sealing means (5) is an add-on seal (50) on the left part of the Figure, and a sealing insert (51) on the right part of the Figure provided with a ring (510) capable of temporarily fixing a spout (8).

Figure 3b shows a side view of the uncrimped capsule (1) in Figure 3a.

30 The example in Figures 4a and 4b partly corresponds to the example shown in Figures 1a and 1b.

The figures are different in that the insert (3) comprises a spacing means (34) as in Figure 2a.

In the example in Figure 5, the said outer head (40) and inner head (30) are curved (concave). The  
5 said sealing means (5) is an add-on seal (50) on the left part of the Figure, and a sealing insert (51) on the right part of the Figure, provided with a ring (510) capable of temporarily fixing a spout (8).

The case in which the outer head (40) and the  
10 inner head (30) of the insert (3, 3') are convex instead of being concave, is shown in dashed lines.

The example in Figure 6a shows an insert (3) with an add-on seal (50), comprising a so-called "long" inner skirt (31) comprising an upper part (312)  
15 carrying the said inner thread (32), and a lower part (313) comprising a detachable part (314) carrying a tamper-evident means (6) in the form of a plurality of tabs (61) and a first opening means (7) in the form of a notched line of weakness (71').

20 Figure 6b shows a side view of the insert (3) in Figure 6a.

Figure 6c shows an enlarged partial view of the top right corner of Figure 6a and shows the position of the seal (50) relative to the annular tab (311) so as  
25 to obtain radial compression of the seal.

The examples in Figures 7a to 10b relate to capsules (1) provided with an insert (3') with a long skirt as shown in Figures 6a to 6d.

The example in Figure 7a represents a capsule (1)  
30 screwed to a BVP 25H collar with a bead provided with an add-on seal (50), the outer skirt (41) of the shell

(4) cooperating at its lower end with a heel (611) of the detachable part (314) of the insert (3), the compression of the add-on seal (50) in contact with the said neck being made by radial compression along a radial direction (11) due to the said annular tab (311).

Figure 7b shows a side view of the capsule (1) in Figure 7a.

The example in Figure 8a is similar to the example in Figure 7a, the collar being a BVP 28H ring with a bead, and the said expanded skirt (43) being an expanded skirt (43') at a spacing from or offset from the said outer head (40) in the case shown in Figure 8a.

Capsules were also manufactured with a second expanded part (43''), shown in dashed lines in the right part of Figure 8a.

Figure 8b is a side view of the capsule (1) in Figure 8a similar to Figure 7b.

The example in Figures 9a and 9b is similar to the example in Figures 7a and 7b.

In Figure 9a, the neck forms a BVP 36EH collar, and as shown in Figure 3a, the said sealing means (5) is an add-on seal (50) on the left part of the Figure, and a sealing insert (51) on the right part of the Figure provided with a ring (510) capable of temporarily fixing a spout (8).

The example in Figures 10a and 10b is similar to the example in Figures 7a and 7b.

In Figure 10a, the neck forms a BVP 30H collar with a bead, and as shown in Figure 1a, the said

sealing means (5) is an add-on seal (50) on the left part of the Figure, and a sealing insert (51) on the right part of the Figure provided with a ring (510) capable of temporarily fixing an anti-fill device (8').

5        Figures 11a to 12b show an axial section along the axial direction (10) illustrating the method for forming the said expanded part (43) according to the invention, using a deformation device (9). This device (9) comprises:

10        - a fixed part (90) typically comprising two dies, a lower die (91) and an annular upper die (91') that cooperate particularly to form a radial cavity (92).

15        - and a mobile part (93) typically comprising a rigid central part (94) comprising a foot (940), a slide (96) free to move in the axial direction with respect to the said rigid central part (94), and an expandable elastomer punch (95) capable of being radially deformed by displacement of the slide (96).

20        In Figure 11a, the foot (940) of the rigid central part (94) fixes the said elastomer punch (95) through the bottom. On the left part of the Figure 11a, the mobile part (93) forming the punch is raised (top dead centre), while the right part of the Figure shows it in the lowered position (bottom dead centre), the slide  
25        (96) then being in the low position compressing the said elastomer punch (95).

30        In Figure 11b that corresponds to Figure 11a, the foot (940) of the rigid central part (94) fixes the said elastomer punch (95) at approximately the mid-height of the elastomer punch (95).

The right part of this Figure shows a variant in which the slide (96) comprises a shouldered part (960) that at the end of the travel distance bears on the end of the outer skirt (41) of the shell (4), so as to contribute to "spinning" the metal to force it into contact with the inner wall of the dies (91, 91') particularly when the radii of curvature R1 and R2 are small ( $\leq 1.5$  mm).

Figures 11c and 11d diagrammatically show deformations of the elastomer punch (95):

- Figure 11c shows the blank of the shell (4') in position in the dies (91) and (91') before deformation starts, the mobile part (93) being lowered, the slide (96) still being in the "high" position so as not to compress the said elastomer punch (95),

- Figure 11c shows the blank of the shell (4') partially deformed by partial compression of the said elastomer punch (95), in dashed lines, the slide (96) being in an intermediate axial position,

- Figure 11d shows the shell (4) comprising an expanded part (43) formed by total compression of the said elastomer punch (95), the slide (96) being in the bottom axial position.

Figure 11d shows a variant of the slide (96) that comprises a lower part (96') - the part in contact with the elastomer punch (95) - formed from an elastomer with a Shore A hardness greater than the Shore A hardness of the elastomer punch, so as to form a "shock absorber" between the typically metallic slide (96) and the elastomer punch (95).

Figures 12a and 12b correspond to Figures 11c and 11d, the elastomer punch (95) having a profile with a sloping wall (950), so as to encourage progressive radial compression of the outer skirt (41) starting from its lower end (45) which does not block axial displacement or migration (46) of the wall metal of the blank of the shell (4') into the cavity (92). This displacement (46) of the skirt with respect to the upper die (91') was shown by an arrow in Figure 12a.

Figures 12c and 12d illustrate the situation according to prior art in which compression of an elastomer punch (95') causes isotropic compression (97) that blocks the shell blank metal around the periphery of the said cavity (92), like a blank holder, such that the metal part (47) facing the cavity (92) is expanded, thinning the metal and causing metal breakage and the formation of cracks (44).

The example in Figure 13a corresponds to the example in Figure 4a, however, in Figure 13a, the insert (3, 3') is a thick insert which also fills the cavity (48) formed by the expanded part (43), the insert (3) possibly being force fitted into the shell (4), or the shell (4) possibly being formed on the said insert (3).

The example in Figure 13b corresponds to the example in Figure 1, however the insert in Figure 13b does not fill the cavity (48), since this cavity is filled by a material (49) - typically an adhesive material that may be a hot melt for gluing the insert to the shell.

The example in Figure 14a corresponds to Figure 7a, but the neck (2) comprises a bottom tamper-evident ring (21') and consequently the said insert (3) is an insert (3'") with a very long skirt with a height of  
 5 60 mm.

Figure 14b is a side view of the capsule (1) in Figure 14a.

The examples in Figures 14c and 14d (partial views of capsules) show other insert embodiments (3, 3', 3", 3'") in which the said inner head (30) comprises a  
 10 flexible annular tab (302) at its periphery, capable of cooperating with the expanded part (43) so as to fix the insert (3) to the shell (4).

The tab (302) in Figure 14c is a simple tab, while  
 15 the tab (302) in Figure 14d is a "Y" shaped tab in which the branches cooperate with the corners of the said expanded part (43).

The examples in Figure 15a to 16f, 17a, 17b and 18d show shape variants of the said expanded part (43),  
 20 Figures 15a to 15f being side views and Figures 16a to 16f being top views.

Figure 15a shows a "smooth" expanded part without any ornaments or complementary manual gripping means (430).

25 Figures 15b to 15f and 17a to 17b show examples of ornament or manual gripping means (430):

- shaped like a series of vertical sticks in Figure 15b,
- shaped like a series of circles in Figure 15c,
- 30 - shaped like a series of triangles, alternately upside down, in Figure 15d,

- shaped like a series of inclined ovals in Figure 15e,

- shaped like a series of finger nails in Figure 15f,

5       - shaped like a series of "laurel leaves" in Figure 17a,

- shaped like a series of "offset laurel leaves" in Figure 17b.

10       These ornamental and / or manual gripping means (430) may be recessed or raised printed, as shown in the case in Figure 15b - see lower part of Figure 15b that is a partial cross sectional view of the expanded part (43).

15       Figures 16a to 16f and 18d show different sections of the said expanded part, the central circle in dashed lines corresponding to the section of the said non-expanded cylindrical part (42):

- circular section in Figure 16a,

- polygonal section with 6 sides in Figure 16b,

20       - polygonal section with 10 sides in Figure 16c,

- circular section cut out by a plurality of grooves in Figure 16d,

- expanded part formed by a plurality of relief, in Figure 16e,

25       - expanded part comprising a plurality of relief, in Figure 16f,

- expanded part with oval section in Figure 18d.

30       Figures 17c and 17d show another purpose of the invention, a capsule (1') without a threaded insert, but provided with an add-on seal. This capsule (1') after having been placed on a neck (see Figure 17c) is

crimped to the neck, a knurl forming a thread cooperating with the thread (20) of the neck, on the skirt of this capsule.

Figures 18a to 18c show the case of a shell (4) of a capsule (1), in which the said cylindrical part (42) is a cylindrical part (42') with a low deformation amplitude ( $a'/b'$ ), capable of facilitating manual gripping of the capsule and forming a decorative pattern.

10

#### Advantages of the invention

The invention has many advantages.

Firstly, it discloses a means of obtaining deformations with a large amplitude ( $a/b$ ) on the skirt of the capsule (1) by local radial expansion of the skirt, but without these deformed parts being weakened or having defects such as cracks.

This means is an economic method compatible with industrial production rates, and that can easily be integrated into a conventional production line, the local radial expansion step being a complementary step following the conventional step for formation of the blank of the shell (4').

It should be noted that this complementary step requires means with which those skilled in the art will be familiar and does not require a large investment.

Furthermore, this method has very broad applications, since it can be used not only to modify any type of capping capsule with a metallic skirt, but also any hollow body, typically (but not necessarily)

30

cylindrical, with a metallic skirt or capable of behaving like a metal.

Finally, the capsules (1, 1') obtained according to the invention have many advantages, to the extent  
5 that:

- they have a metal shell (4) in which the outer skirt (41) has an approximately constant thickness  $E_p$  despite local radial deformations, which provides capsules with a shell free of defects and good  
10 mechanical shock resistance,

- these radial deformations facilitate manual gripping and rotation of the capsule (screwing and unscrewing), particularly during the first opening of the capsule, such that it is not necessary to use a  
15 tool that requires breakage of the narrow connecting strips of the guarantee strip during this first opening,

- these radial deformations form decorative, identification and customisation means of capsules,  
20 which is very useful in practice.

#### List of marks

	Closing capsule .....	1
	Rotation axis - axial direction .....	10
25	Radial direction .....	11
	Neck of a container .....	2
	Outer thread - cooperates with 32 .....	20
	Tamper-evident ring .....	21
	Bottom tamper-evident ring .....	21'
30	Locking ring .....	22
	Striated part .....	220

	Orifice, opening of 2 .....	23
	Insert with height h .....	3
	Insert with "short" skirt .....	3'
	Insert with "long" skirt .....	3"
5	Insert with "very long" skirt .....	3'"
	Inner head .....	30
	Rib or annular overthickness .....	300
	Chamfer .....	301
	Flexible annular tab .....	302
10	Inner skirt .....	31
	Rib or support pins for 50 .....	310
	Rib or support pins for 51 .....	310'
	Annular tab .....	311
	Top part with thread .....	312
15	Bottom part under thread .....	313
	Bottom part of 313 forming 71 .....	314
	Inner thread - cooperates with 20 .....	32
	Arch .....	33
	Spacing means .....	34
20	Concentric rings .....	340
	Metallic shell with height H .....	4
	Blank of 4 .....	4'
	Outer head .....	40
	Outer skirt .....	41
25	Blank of 41 .....	41'
	Cylindrical part of 41 with height H1 ..	42, 42'
	Secondary deformations of 42 .....	420
	Expanded part of 41 with height H2 .....	43, 43'
	Ornamental or manual gripping means ..	430
30	Cracks .....	44
	Bottom of blank 4' .....	45

	Axial displacement, migration of metal from 4'	
	.....	46
	Part of 4' facing the cavity 92	47
	Radial cavity formed by 43, 43'	48
5	Filling material for 48 (adhesive)	49
	Sealing means	5
	Add-on seal of 1	50
	Add-on seal of 1'	50'
	Sealing insert	51
10	Connecting ring of 51 with 8, 8'	510
	Annular sealing lip	511
	Tamper-evident means	6
	Crimped / to be crimped zone	60
	Attachment tabs	61
15	Thinned part	610
	Heel - external projection	62
	First opening means	7
	Line of weakness	70
	Notched line of weakness	70'
20	Guarantee strip	71
	Spout	8
	"Anti-fill" device	8'
	Ball	80
	Seal attachment ribs to the neck	81
25	Radial deformation device of 4', 41'	9
	Fixed part forming die	90
	Dies	91, 91'
	Radial cavity of 91, 91'	92
	Axially mobile part forming punch	93
30	Rigid central part of punch	94
	Foot	940

	Expandable elastomer punch .....	95
	Profile with sloping wall .....	950
	Elastomer punch (prior art) .....	95'
	Slide for axial compression of 95 .....	96
5	Lower part of 96 made of "hard" elastomer	96'
	Shoulder .....	960
	Isotropic pressure .....	97